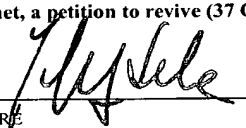


JC07 Rec'd PCT/PTO 31 JAN 2002

| | | | |
|--|--|---|---|
| FORM PTO-1390 (REV 9-2001) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER 082110-000000US |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/069095 |
| INTERNATIONAL APPLICATION NO. PCT/AT00/00204 | INTERNATIONAL FILING DATE July 25, 2000 | PRIORITY DATE CLAIMED August 3, 1999 | |
| TITLE OF INVENTION DEVICE FOR FORMING A STRIP OF DOUGH | | | |
| APPLICANT(S) FOR DO/EO/US Robert SAUSENG, Richard HÄUSLER | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 36 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 37(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input checked="" type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 36 U.S.C.</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p> <p>International Search Report, ADS</p> <p>Substitute pages 5a and 5b</p> <p>"Working Copy of Complete Application"</p> | | | |

JC13 Rec'd PCT/PTO 31 JAN 2002

| | | | | | |
|---|--------------|--|------------------|---|--|
| US/ Application no (if known, see 37 CFR 1.5) 10/069095 | | INTERNATIONAL APPLICATION NO PCT/AT00/00204 | | ATTORNEY'S DOCKET NUMBER 082110-000000US | |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: | | | | CALCULATIONS PTO USE ONLY | |
| BASIC NATIONAL FEE (37 CFR 1.492(A) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.492) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search report prepared by the EPO of JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$890.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 15 - 20 = | | x \$18.00 | \$ | |
| Independent claims | 2 - 3 = | | x \$84.00 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$890.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$445.00 | |
| SUBTOTAL = | | | | \$445.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFT 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ | |
| Fee for recording the enclosed assignment (37 CFR 1.2(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$445.00 | |
| | | | | Amount to be refunded: \$ | |
| | | | | charged: \$ | |
| a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>20-1430</u> in the amount of <u>\$450.00</u> to cover the above fees c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>20-1430</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | SIGNATURE <u></u> | |
| J. Georg Seka Townsend and Townsend and Crew Two Embaradero Center, Suite 800 San Francisco, CA. 94111 | | | | DATE <u>January 31, 2002</u> | |
| | | | | NAME <u>J. Georg Seka</u> | |
| | | | | REGISTRATION NUMBER <u>24,491</u> | |



PTO/SB/06 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Attorney Docket No. 082110-000000US

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Robert Sauseng, et al.Application No./Patent No.: 10/069,095

Filed/Issue Date: _____

Entitled: APPARATUS FOR FORMING A STRIP OF DOUGHKönig Maschinen Gesellschaft m.b.H.a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

2002/02/17
Date

Robert Sauseng
Signature

Robert Sauseng

Typed or printed name

Executive Secretary

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1310952 v1

Attorney Docket No. 082110-000000US
Client Reference No.: 11155/4/zic

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. National Phase of:
PCT/AT00/00204

ROBERT SAUSENG, et al.

Application No.: Not yet assigned

Filed: Herewith

For: DEVICE FOR FORMING A STRIP
OF DOUGH

PRELIMINARY AMENDMENT

San Francisco, CA 94111
January 29, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to the examination of the above-referenced application, please enter the following amendments.

IN THE CLAIMS:

Please substitute the following amended, clean versions of the indicated claims (a marked-up version of the changes to the claims is attached to this Amendment):

3. (amended) Apparatus according to claim 1, characterized in that each roller carrier (24) is formed by two walls (54) laterally confining the gap (50).

4. (amended) Apparatus according to claim 1, characterized in that the eccentric or the eccentrics (36, 45) or, respectively, the connecting rod (51) are adjustable.

6. (amended) Apparatus according to claim 1, characterized in that the connecting rod (51) is obliquely directed from its linkage point (53) at the roller carrier (24) to above or to below.

7 (amended) Apparatus according to claim 1, characterized in that each two eccentrics (36, 45) disposed at both sides of the gap (50) are driven in synchronism, however with opposite direction of rotation.

8. (amended) Apparatus according to claim 1, characterized in that above the gap (50) delimited by the driven roller sets (21, 22), adjacent to the respective uppermost rollers (23), two further sets (18, 19) of freely rotatable bearingly supported superimposed rollers are provided side by side, wherein preferably the gap delimited by these two roller sets (18, 19) is at least as width as the mean width of the gap (50) delimited by the driven roller sets (21, 22).

10. (amended) Apparatus according to claim 8, characterized in that the rollers (20) of the further roller sets (18, 19) have diameters that are smaller than those of the driven rollers (23).

11. (amended) Apparatus according to claim 1, characterized in that the two roller carriers (24) can be adjusted by additional adjustment means in direction towards each other or away from each other.

13. (amended) Apparatus according to claim 1 characterized in that at least one roller (23) is provided with at least one conduit (59) for supplying a flowable medium to the dough, which conduit extends in longitudinal direction of the roller, and that the roller jacket (65) surrounding this conduit (59) is constituted by a sintered body that is permeable for this medium.

ROBERT SAUSENG, et al.
Application No. Not yet assigned
Page 3

PATENT

REMARKS:

Claims 1-15 are pending.

Attached hereto is a "**Working Copy**" of the complete application including a substituted English translation pages 5a and 5b (which corresponds to a new German language page 4). It is requested that the "**Working Copy**" be substituted for the originally filed specification since it sets forth the complete current wording of the application.

Amendment is made to the claims to eliminate all multiple dependencies from the claims, thereby avoiding the need to pay the multiple dependent surcharge.

Respectfully submitted,



J. Georg Seka
Reg. No. 24,491

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
JGS/dxm

SF 1312268 v1

MARKED-UP VERSION OF THE CHANGES TO THE CLAIMS

3. (amended) Apparatus according to [claim 1 or 2] claim 1, characterized in that each roller carrier (24) is formed by two walls (54) laterally confining the gap (50).
4. (amended) Apparatus according to [claim 1, 2 or 3] claim 1, characterized in that the eccentric or the eccentrics (36, 45) or, respectively, the connecting rod (51) are adjustable.
6. (amended) Apparatus according to [any of claims 1 to 5] claim 1, characterized in that the connecting rod (51) is obliquely directed from its linkage point (53) at the roller carrier (24) to above or to below.
- 7 (amended) Apparatus according to [any of claims 1 to 6] claim 1, characterized in that each two eccentrics (36, 45) disposed at both sides of the gap (50) are driven in synchronism, however with opposite direction of rotation.
8. (amended) Apparatus according to [any of claims 1 to 7] claim 1, characterized in that above the gap (50) delimited by the driven roller sets (21, 22), adjacent to the respective uppermost rollers (23), two further sets (18, 19) of freely rotatable bearingly supported superimposed rollers are provided side by side, wherein preferably the gap delimited by these two roller sets (18, 19) is at least as width as the mean width of the gap (50) delimited by the driven roller sets (21, 22).
10. (amended) Apparatus according to [claim 8 or 9] claim 8, characterized in that the rollers (20) of the further roller sets (18, 19) have diameters that are smaller than those of the driven rollers (23).
11. (amended) Apparatus according to [any of claims 1 to 10] claim 1, characterized in that the two roller carriers (24) can be adjusted by additional adjustment means in direction towards each other or away from each other.
13. (amended) Apparatus according to [any of claims 1 to 12] claim 1, characterized in that at least one roller (23) is provided with at least one conduit (59) for supplying a flowable medium to the dough, which conduit extends in longitudinal direction of

ROBERT SAUSENG, et al.
Application No. Not yet assigned
Page 5

PATENT

the roller, and that the roller jacket (65) surrounding this conduit (59) is constituted by a sintered body that is permeable for this medium.

SF 1312268 v1

5/pt

Apparatus for Forming a Strip of Dough

The invention relates to an apparatus for forming a strip of dough, comprising a framework and two sets of superimposed rollers disposed adjacent to each other and driven
5 for rotation around their horizontal axes, the rollers of each set being bearingly supported on a roller carrier movable relative to the framework, wherein the dough passes from above to below through the gap remaining between the two roller sets, which gap narrows to below, all rollers of one set being driven in the same direction, however, the lower rollers of the set being driven faster than the upper rollers of the same set, and wherein the roller carriers of
10 the two sets can be moved one against the other or apart from each other by eccentric drive means.

As it is known, difficulties occur when a mixed charge of dough, the volume of which can be of different size, however, in the most cases being equal to the capacity of the kneader, which volume in the most cases is supplied by a hopper, must be formed in the
15 shape of a continuous strip of dough, which has a predetermined width and an also predetermined thickness that is at least substantially constant. These difficulties result from that mixed dough is sensitive with respect to its structure that means its physical and chemical properties, to the stresses occurring when it is formed to a continuous strip. In particular, this holds for doughs subjected to a long fermentation time that is desired with
20 respect to increase the quality of the product without additional effort (additions).

An apparatus of the initially described kind has become known (EP 744 126 A1), in which each set of rollers is bearingly supported for rotation on a pivoted lever constituting the roller carrier. The upper end of this pivoted lever is stationarily linked to the framework and can be pivoted around this linkage point by an eccentric drive means. The pivotal
25 movements of the two roller carriers work always in opposite direction so that the gap through which the formed strip of dough discharges from the apparatus to below, is

alternately increased or decreased. Thereby, indeed a continuous strip of dough of substantially constant thickness can be obtained, but this known apparatus is not completely free of undesired dough stresses so that the dough structure is adversely affected, in particular for sensitive kinds of dough or for doughs subjected to a long fermentation time.

5 The invention has at its object to improve an apparatus of the initially described kind so that the dough is brought into its desired strip shape very carefully. The invention solves this task in that an eccentric is bearingly supported on each roller carrier and is driven for rotation opposite to the direction of movement of the dough, and that each roller carrier is bearingly supported on a further eccentric or a connecting rod at a point that is located
10 higher or lower than this eccentric, wherein this further eccentric or this connecting rod is bearingly supported for rotation or pivotal movement on the framework. As distinguished from the pivotal movement of the two roller carriers occurring in the above described known construction, within the inventive apparatus there occurs a movement of each roller carriers that comprises not only a horizontal component but also a significant vertical component,
15 this horizontal or vertical component occurs not only at the lowermost rollers of the roller carrier but also at the uppermost rollers. So to speak, there occurs a wave motion of the two roller carriers so that the dough disposed between the two roller sets is not only subjected to a thrust action performed by the rotational motion of the rollers, but also to a pumping effect, the size and kind of which depends from the size of the eccentricity of the eccentrics used or
20 from the length of the connecting rods used and from how and where they are pivotally connected to the respective roller carrier. Simplifying, it can be said that the dough is stepwisely and gently rolled into the desired shape.

 As distinguished from the above described known construction, according to the invention the eccentrics act directly on the respective roller carrier, whereas within the known
25 construction, the pivotable roller carrier is always connected via a connecting rod to an eccentric pin of the drive means.

According to a further embodiment of the invention, the rollers of the two roller sets have diameters that are equal to each other, what results in low costs of manufacture and makes it easier to adjust as desired the different speeds of revolution and the thereby created thrust and pumping actions acting on the dough.

5 According to a particular favourable embodiment of the invention, each roller carrier is formed by two walls, laterally confining the gap. The ends of the rollers can be bearingly supported in these walls, for example by means of bearing necks, and the drive members for the rotational motion of the rollers can also be supported by the roller carriers. Within such a construction, the walls constituting the roller carriers may form the lateral delimitation for the
10 dough that flows through the gap between the roller sets, and, therefore, can act as lateral shields for the width of the dough strip to be produced, if this was not already made earlier, for example by a corresponding exit cross section of a supply hopper or the like.

 According to a further embodiment of the invention, the eccentric or the eccentrics or the connecting rod can be adjustable. This adjustment may comprise a change of the
15 eccentricity of the eccentric and/or a change of its bearing location and/or a change of the length and/or of the linkage points of the connecting rod. In such a manner, the motion of the roller carrier and thus its roller action onto the dough can be varied, so that one can accommodate to different qualities of the dough, what is of importance in particular for processing dough of different viscosity.

20 It is particular suitable to dispose above the gap delimited by the driven roller sets, adjacent to the respective upper rollers, two further sets of freely rotatable superimposed rollers side by side, wherein preferably the gap delimited by these two roller sets is at least as width as the mean width of the gap delimited by the driven roller sets. The space disposed between these further roller sets constitutes a compensating space for a dough
25 mass that is disposed between the driven roller sets carried by the roller carriers and is pressed to above, when these roller sets are moved and thereby projects beyond the

uppermost rollers of the two driven roller sets. This upwardly pressed amount of dough is taken up by the said compensating space and is again delivered to below as soon as the driven roller sets move away from each other. Within this, it is suitable to dispose the rollers of each one of the further roller sets superimposed in vertical direction and to make them of
5 always the same size, however, to keep the diameter of the rollers of these further roller sets less than that of the driven rollers.

As it is well known, it is frequently desired to introduce one or more flowable substances onto or into the dough. Such substances may be for example baking oil, separating oil, olive oil, however, also egg white, honey, sugar solution or oxygen or
10 fermentation gases to influence the properties of the dough, further moistening agents, in particular water as a preparation for a subsequent sprinkling or dusting step, for example with poppy seed, sesame or the like, or sodalye for the production of salted baked products, gloss substance for the production of brioche, substances (in particular oils) to improve the taste and the like. The invention enables one to impinge the dough with the flowable
15 material, when the dough passes the gap disposed between the driven roller sets. For this, according to the invention, at least one roller is provided with at least one conduit for supplying a flowable medium to the dough, which conduit extends in longitudinal direction of the roller, the roller jacket surrounding this conduit being constituted by a sintered body that is permeable for this medium. The medium that is to be supplied onto the dough can flow or
20 diffuse through this sintered body, and, if desired, the applied medium may be worked into the dough by the stresses exerted onto the dough by the roller sets. Within that, it is of advantage that thereby the medium can be substantially more regular applied onto the dough, as this was possible by the usual dropping or spraying of the medium. Further, losses are substantially reduced because the medium can be applied onto the dough by the
25 rollers in a completely exact manner, so that nothing or nearly nothing that leaves the rollers, misses the dough. Thereby, the amount of the medium that is applied onto the dough can be

exactly dosed and soiling of neighbouring machine elements can be avoided. Further, this applying is more flexible with respect to different kinds of the medium to be applied, and is more exact with respect to the location where it is applied.

It is recommendable for rollers constructed in the above sense to use sintered bodies of a material that is compatible with foods and is dough repelling, preferably polyamide. The average molecular weight for this has to be suitably chosen, for example about 800 to 1200, preferably about 1000, whereby the sinter volume amounts to 60 to 90 % (corresponding to a hollow space content of 40 to 10 %). In particular suitable within the spirit of the invention are sintered bodies of sintered granules of synthetic plastic material having an average grain size of 0.1 to 1.0 mm.

Further features and advantages of the invention can be seen from the description of embodiments schematically shown in the drawings. Fig. 1 shows an embodiment in a vertical section. Fig. 2 shows the driven roller sets, bearingly supported in roller carriers, of the embodiment according to Fig. 1, in an enlarged scale. Fig. 3 is a section taken along the line III - III of Fig. 2. Fig. 4 shows a variant to Fig. 2 and Fig. 5 is a section taken along the line V - V of Fig. 4. Figs. 6 to 9 show in sections similar to Figs. 2 and 4 further embodiments. Figs. 10 and 11 show two variants for the adjustment of the gap existing between the two roller sets. Fig. 12 shows in a vertical section the supply of a flowable medium to the rollers and Fig. 13 is a section taken along the line XIII - XIII of Fig. 12.

Within the embodiment according to Figs. 1 to 3, the dough to be processed which may have been subjected to a long fermentation time, for example a kettle fermentation, is filled into a filling hopper 1 from above, the capacity of which corresponds suitably to the nominal capacity of the kneader by which the dough was previously processed. The hopper 1 is put onto the machine framework 2 and has below an outflow opening 3, the width of which, measured perpendicularly to the drawing plan, already determines the width of the dough strip or dough band to be produced. From the outflow opening 3 the dough 4 gets between two endless bands 5, 6 guided over rollers 7, 8, the rollers 7 of which are so driven that the two bands 5, 6 circulate stepwisely or continuously in direction of the arrows 9. The two bands 5, 6 have a longitudinal extension that is so obliquely disposed that the smaller rollers 8 are disposed below and neighbouring the vertical axis 10 of the hopper 1. Guide members, which are not shown, may guide the bands 5, 6 so that the two lower most rollers 8 change their position periodically so that the gap 11 between the two rollers 8 changes its width and/or its position relative to the axis 10 periodically. From the gap 11, the dough 4 comes between two cylinders 12 or rollers which rotate in opposite direction in the sense of

the arrows 13 and may, but must not, be driven in this direction. The gap 14 between the two cylinders 12 determines in the first instance the thickness of the strand or band of dough and may have an adjustable size, if desired. The so produced dough band reaches a conveyor belt 15 which conveys the dough 4 to a further hopper 16 fixed in the framework 2 of the apparatus. Thereby, the dough band guided to the hopper 16 loses its substantial uniform thickness again, which, however, will be restored in a manner which will be described later on. The outflow opening 17 of the hopper 16 can, but must not, have a width corresponding to that of the outflow opening 3, and can define the width of the dough band to be produced. From the hopper 16, the dough gets between two roller sets 18, 19, each of which comprising four rollers 20 superimposed above each other in vertical direction and respectively of equal size. These rollers are freely rotatable, their peripheries are closely neighbouring each other or are in contact with each other. The rollers 20 are stationarily bearingly supported within the framework 2, suitably in sidewalls adjacent the outflow opening 17.

Adjacent to the roller sets 18, 19 further roller sets 21, 22 are disposed, each of which in the embodiment shown comprises four superimposed rollers 23. The rollers 23 of each one of the roller sets 21, 22 have ends in the form of shaft stubs 69 and are bearingly supported therewith on roller carriers 24 by means of rolling bearings 25 (Fig. 3), the roller

SF 1312551 v1

carriers 24, when measured perpendicularly to the axial direction of the rollers 23, have a substantial greater width than the diameter of the rollers 23, which diameter suitably is the same for all rollers 23. In such a manner, each roller carrier 24 consists of two walls 54 bearingly supporting the rollers, which walls constitute the sidewalls for limiting the side of the dough, mostly only a narrow gap 26 (Fig. 2) remaining between each two roller carriers 24, which gap enables the relative motion of the two roller carriers 24 in direction towards each other or away from each other. The bearing locations of the rollers 23 in the roller carriers 24 are so chosen that the distance between each two opposing rollers 23 narrows towards below, therefore in flow direction of the dough, and this is in all positions of the roller carriers 24, so that, therefore, the gap 50 relevant for the flow of the dough between the roller sets 18, 29 narrows towards below. From the roller group formed by the two roller sets 21, 22, the dough 4 flows out in form of a continuous dough band of constant width and substantially constant thickness and gets onto a conveyor belt 27 guided over a roller 29 driven by a motor (not shown) so that it circulates in direction of the arrow 28. At the deliver position 30, this conveyor belt 27 may deliver the dough band to a further processing step, for example forming, portioning and the like, or these further processing steps of the dough 4 can be made partially or completely already on the section of the conveyor belt 27 being positioned laterally with respect to the roller group 31.

The rollers 23 of each roller set 21, 22 are driven in the same direction, the direction of circulation is indicated by arrows 32 (Fig. 1, 2). These directions of circulation are oppositely directed for the two roller sets 21, 22, so that the dough between the two roller sets 21, 22 is conveyed downwardly by the rollers 23. Each roller set 21, 22 is driven by a motor 33 each (Fig. 3), which drives chain wheels 35 via a chain 34, which chain wheels are connected for common rotation with the shaft stubs 69 of the rollers 23. Since the two roller carriers 24 move relative to each other, suitably an own drive means is provided for the rollers of each one of the roller carriers 24 (Fig. 6 to 9). However, by suitably choosing the

transmission ratio between chain 34 and chain wheels 35, the construction is such that the lower rollers 23 rotate with a higher rotational speed than the upper rollers 23.

A further motion is superimposed to the described circulating movement of the rollers 13, which further movement is transferred to the rollers 23 via the roller carriers 24. For this, each roller carrier 24 is driven by means of an eccentric means 36. Each eccentric means 36 has an eccentric disk 37 bearingly supported within the framework 2 by means of bearings 38 and carrying an eccentric pin 39 lengthened to a rod extending in axial direction of the rollers 23, which rod connects the two roller carriers 24 disposed on both sides of the rollers 23. This rod-shaped eccentric pin 39 is bearingly supported within the roller carriers 24 by means of bearings 40. This construction is shown in Figs. 2 and 3. However, the arrangement can also be an inverted one, i.e. that the eccentric disks 37 are bearingly supported within the roller carriers 24 and the eccentric pins 39 within the framework 2. Within the embodiment shown, the one eccentric disk 37 carries a concentric chain wheel 41 driven by a motor 43 via a chain 42. The chain 42 runs over a further chain wheel 44 that drives a further eccentric means 45, the eccentric disk 46 and the eccentric pin 47 of which are bearingly supported within the framework 2 or within the roller carrier 24, respectively, in an analogous manner as this has been described for the eccentric means 36.

This supporting by eccentric means 36, 45 is the same for the roller carriers 24 of both roller sets 18, 19, however, symmetrical with respect to the axis 48 of the gap 26.

The direction of revolution of the motor 43 or, respectively, the direction of the run of the chain 42 are so chosen that the eccentric pins 39 or 47 revolve in a circular movement in direction of the arrows 49, and therefore, opposite to the direction of movement of the dough in the gap 50 between the two roller sets 21, 22. Within this, the two eccentric pins 39, 47 are angularly offset with respect to each other. As Fig. 2 shows, the lower eccentric pin 39 has already reached almost its highest position, whereas the upper eccentric pin 47 has only started its upward movement. Thus, when the eccentric means 36, 45 rotate, there results

for the two roller sets 21, 22 a movement that is superimposed to their revolving motion, which superimposed movement comprises a horizontal component as well as a vertical component. Therefore, there results a motion of the two roller sets 21, 22 that is directed towards each other or, respectively, opposite to each other and is directed upwardly or, respectively, downwardly, wherein, however, the lower rollers 20 of the two roller sets 21, 22 mostly approach or, respectively, remove from each other at another time than the upper rollers 20 of these roller sets. The so produced wobbling motion of the two roller sets 21, 22 results in a pressure or kneading action on the dough, however, the dough is treated gently, so that the dough is gently brought into the desired dough band shape. Within this, there results a certain breaking effect onto the dough flowing between the rollers 20, caused by the correspondingly selected revolving direction of the eccentric means 36, 45. However, the conveyance action of the rotational motion of the rollers 20 around their axes is directed opposite to this breaking effect, whereby the lower rollers 20 of each roller set 21, 22 rotate with a higher speed than the upper rollers of the same roller set. This different rotational speed of the single rollers 20 can easily be achieved by selecting the size or teeth number of the chain wheels 35 co-operating with the chain 34. By the co-operation of all of these movements, the dough is not only pumped or pressed through the gap 50 existing between the roller sets 21, 22 and tapering downwardly, but is also stepwisely and gently rolled into the desired shape.

20 The embodiment according to Figs. 4 and 5 differs from that according to Figs. 1 to 3 in that instead of the upper eccentric means 45 connecting rods 51 are provided. Each connecting rod 51 is pivotally connected at its one end by means of a swivel bearing to the framework 2, and at its other end to the corresponding roller carrier 24, also swivellably. Suitably, the latter pivot location 53 is so chosen, that it coincides with the axis of the
25 uppermost roller 20. Within this embodiment, the connecting rods 51 are directed

downwardly from the swivel bearings 52 and towards the gap 50. Within this, the operation is substantially the same as within the embodiment according to Figs. 1 to 3.

In the Figs. 6 to 9 different embodiments for those members are shown by which the said wobbling motion of the two roller carriers 24 is created. Within this, the embodiment according to Fig. 6 is, in general, equal to that according to Fig. 4, i.e., the eccentric drive means is disposed below and the connecting rods are disposed above, wherein the connecting rods 51 are directed inwardly and downwardly from their pivot locations 52 at the framework 2.

Therefrom, the embodiment according to Fig. 7 differs in that the connecting rods 51 are directed upwardly from their pivot locations 52 at the framework 2.

Within the embodiment according to Fig. 8, the eccentric bearing is located above and the connecting rod pivot below. The connecting rods are directed from their pivot locations at the framework downwardly and inwardly. The pivot location 53, therefore, is no more located near the uppermost roller 20 of the respective roller set 21 or 22, but near the lowermost roller 20.

Therefrom the embodiment according to Fig. 9 differs in that the connecting rods 51 are directed upwardly from their swivel bearings 52 at the framework 2.

The Figs. 6 to 9 show further schematically the already described drive means for the revolving motion of the rollers 20 and for driving the respectively used eccentric means 36 or 45.

In addition thereto, in the Figs. 1, 2 and 6 to 9 means are shown by which the average width of the gap 50 can be varied that remains between the two roller sets 18, 19 and is relevant for the through-flow of the dough. These means comprise adjustment means acting onto the two roller carriers 24. For this, within the described embodiments each roller carrier 24 in its lower section is provided with a toothed rack 55 meshing with a toothed wheel 56 that is bearingly supported on the framework 2. Thereby, an adjustment of the two

roller carriers 24 in direction of the double arrows 57 is possible. The adjusted position can be secured by fixing means, not shown.

Fig. 10 shows the described adjustment possibility in the extreme position in which the two lower sections of the two roller carriers 24 are brought into maximum approach to each other, so that the gap 50 between the two roller sets 18, 19 has reached its narrowest adjustment below. As Fig. 10 shows, the adjustment possibility for the width of the gap 50 described until now has its effect chiefly onto its lower section. If it is desired to adjust also the upper section of the gap 50, a similar adjustment possibility can be provided also for the upper sections of the two roller carriers 24, as this is shown in Fig. 11. Within this, the adjustment region can be so wide that the edges of the walls 24 constituting the roller carriers 24 contact each other in pairs, as this is shown in Fig. 11.

An analogous adjustment possibility, of course, can be applied also for the swivel bearings 52 of the connecting rods 51.

It is suitable to dispose the described adjustment means, in particular the toothed racks 55 and the toothed wheels 56, in the region of the eccentric means 36 or 45 or in the region of the swivel locations 52 of the connecting rods 51. If desired or necessary, however, the described adjustment means for the width of the gap 50 can act also on other locations of the roller carriers 24.

Within the embodiment according to Figs. 12 and 13, a flowable medium can be conducted into several or all of the rollers 20 of the roller sets 18, 19, which medium reaches the dough through the rollers 20. For this, each roller 20 has at least one conduit 59 extending in the longitudinal direction of the roller, suitably in the region of the roller axis 58, into which conduit the flowable medium, for example baking oil, egg white, aroma compound solutions, but also oxygen or fermentation gases for influencing the dough properties, is introduced in direction of the arrow 60 from a line 61 via a rotating coupling 62. Suitably, the conduit 59 is formed by a hollow shaft 63 of steel, the end of which remote from the rotating

coupling 62 is closed. The wall of the hollow shaft 63 comprises a plurality of radially extending openings 64 through which the flowable medium can flow to the jacket 65 of the roller 20. Suitably, this jacket 65 on its inner side is provided with an annular distribution space 66 into which the medium flows in direction of the arrows 67 and from which it enters
5 the jacket 65. In order to enable the flow through the jacket 65, it consists of a sinter body permeable for the medium, suitably of dough-rejecting material, for example polyamide having an average molecular weight of about 1000, whereby the sinter volume amounts to 60 to 90% (corresponding to a hollow space content of 10 to 40%). Such a porous sinter body may consist of grains of synthetic plastic material having an average grain size of 0.1
10 to 1.0 mm, for example 0.2 to 0.35 mm. The medium passed into such a sinter body penetrates this sinter body or diffuses it and, therefore, reaches the peripheral surface 68 of the jacket 65 and therewith the dough 4 being in contact with this peripheral surface.

Such an apply of the medium onto the dough 4 is advantageous as well with respect to the local apply as with respect to the uniformity of the apply and with respect to avoiding
15 soiling of neighbouring machine elements.

As Fig. 12 shows, a plurality of rollers 20 can be connected in common to the line 61, into which the medium to be applied onto the dough 4 is introduced under pressure by a pump, not shown. An alternative embodiment consists in to connect individual rollers 20 to different lines 61, so that different media can be applied in different doses.

20 As Fig. 1 and 12 show, above the roller group 31 formed by the roller sets 21, 22 there is provided the device formed by the roller sets 18, 19. This device has the purpose to take up dough quantities, if any, rising upwardly from the roller group 31 during the pumping motion of the roller sets 21, 22, and to conduct these dough quantities back again into the roller group 31. For this, the rollers 20 of the roller sets 18, 19 are freely rotatable, suitably
25 the peripheral surfaces of these rollers 20 contact each other so that a sidewise escape of the dough is avoided. A similar contact of the peripheral surfaces 68 of the rollers 20 is also

present within the embodiment according to Fig. 12, however, this is not compulsory, as Fig. 1 shows, wherein the peripheral surfaces of the several rollers 23 are spaced apart from each other for a small distance.

As Fig. 1 shows, the rollers 20 of the roller sets 18, 19 are disposed adjacent to the
5 uppermost rollers 23 of the roller group 31. The gap confined by the roller sets 18, 19 is at least as wide as the mean width of the gap 50 of the roller group 31. The rollers 20 superimposed in vertical direction have the same size, however, their diameters are always smaller than the diameters of the rollers 23 of the roller group 31.

Claims:

- 25

5. Apparatus according to claim 4, characterized in that for the adjustment the eccentricity and, respectively, or the bearing location of the eccentric (36, 45) and, respectively, or the length and, respectively, or the linkage points of the connecting rod (51) can be varied.

5

6. Apparatus according to any of claims 1 to 5, characterized in that the connecting rod (51) is obliquely directed from its linkage point (53) at the roller carrier (24) to above or to below.

- 10 7 Apparatus according to any of claims 1 to 6, characterized in that each two eccentrics (36, 45) disposed at both sides of the gap (50) are driven in synchronism, however with opposite direction of rotation.

- 15 8. Apparatus according to any of claims 1 to 7, characterized in that above the gap (50) delimited by the driven roller sets (21, 22), adjacent to the respective uppermost rollers (23), two further sets (18, 19) of freely rotatable bearingly supported superimposed rollers are provided side by side, wherein preferably the gap delimited by these two roller sets (18, 19) is at least as width as the mean width of the gap (50) delimited by the driven roller sets (21, 22).

20

9. Apparatus according to claim 8, characterized in that the rollers (20) of each one of the further roller sets (18, 19) are superimposed in vertical direction and are all of the same size.

- 25 10. Apparatus according to claim 8 or 9, characterized in that the rollers (20) of the further roller sets (18, 19) have diameters that are smaller than those of the driven rollers (23).

11. Apparatus according to any of claims 1 to 10, characterized in that the two roller carriers (24) can be adjusted by additional adjustment means in direction towards each other or away from each other.
- 5 12. Apparatus according to claim 11, characterized in that the adjustment means comprise toothed racks (55) connected to the roller carriers (24) and meshing with toothed wheels (56) bearingly supported at the framework (2).
- 10 13. Apparatus according to any of claims 1 to 12, characterized in that at least one roller (23) is provided with at least one conduit (59) for supplying a flowable medium to the dough, which conduit extends in longitudinal direction of the roller, and that the roller jacket (65) surrounding this conduit (59) is constituted by a sintered body that is permeable for this medium.
- 15 14. Apparatus according to claim 13, characterized in that the sintered body consists of a dough repelling material, in particular polyamide having a molecular weight of about 800 to 1200, for example about 1000, whereby the sinter volume amounts to 60 to 90 %.
- 20 15. Apparatus according to claim 14, characterized in that the sintered body consists of sintered grains of synthetic plastic material having an average grain size of 0.1 to 1.0 mm, for example 0.2 to 0.35 mm.

(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES
PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG(19) Weltorganisation für geistiges Eigentum
Internationales Büro(43) Internationales Veröffentlichungsdatum
8. Februar 2001 (08.02.2001)

PCT

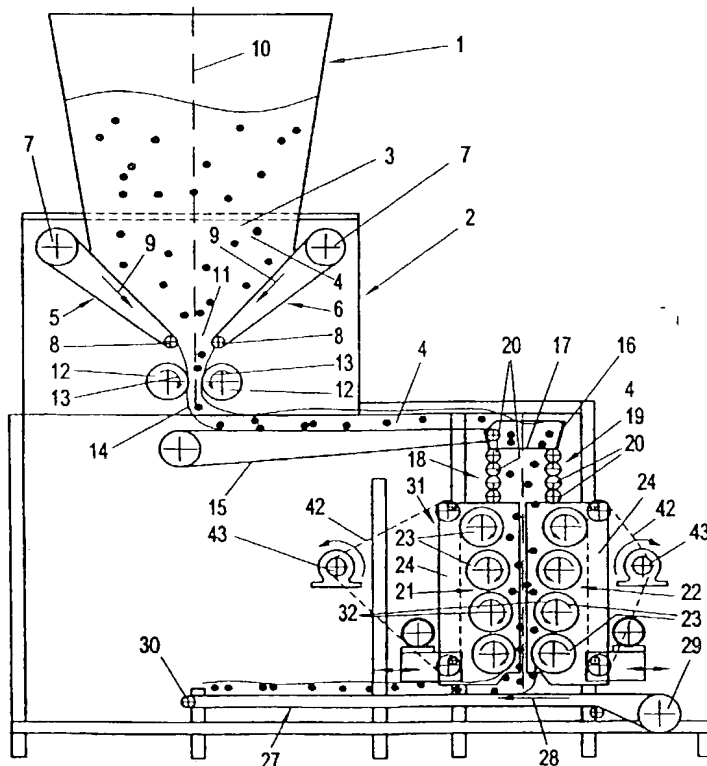
(10) Internationale Veröffentlichungsnummer
WO 01/08497 A1

- (51) Internationale Patentklassifikation⁷: A21C 3/02 (72) Erfinder; und
(21) Internationales Aktenzeichen: PCT/AT00/00204 (75) Erfinder/Anmelder (nur für US): SAUSENG, Robert [AT/AT], Grazerstrasse 33/2, A-8111 Judendorf-Strassengel (AT). HÄUSLER, Richard [AT/AT]; Ursprungweg 152, A-8045 Graz (AT).
(22) Internationales Anmeldedatum: 25. Juli 2000 (25.07.2000) (74) Anwälte: WILDHACK, Helmut usw.: Landstrasser Hauptstrasse 50, A-1030 Wien (AT).
(25) Einreichungssprache: Deutsch (81) Bestimmungsstaaten (national): AE, AL, AM, AT, AT (Gebrauchsmuster), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Gebrauchsmuster), DE, DE (Gebrauchsmuster), DK, DK (Gebrauchsmuster), EE, EE (Gebrauchsmuster), ES, FI, FI (Gebrauchsmuster), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK,
(30) Angaben zur Priorität: A 1336/99 3. August 1999 (03.08.1999) AT
(71) Anmelder (für alle Bestimmungsstaaten mit Ausnahme von US): KÖNIG MASCHINEN GESELLSCHAFT M.B.H. [AT/AT], Statteggerstrasse 80, A-8045 Graz (AT).

[Fortsetzung auf der nächsten Seite]

(54) Title: DEVICE FOR FORMING A STRIP OF DOUGH

(54) Bezeichnung: VORRICHTUNG ZUR FORMUNG EINES TEIGBANDES



(57) Abstract: A device for forming a strip of dough, comprising a frame (2) and two sets (21, 22) of superposed rollers (23) arranged next to each other, whereby said rollers are driven in order to create a rotating movement about the horizontal axes thereof. The rollers (23) of each set (21, 22) are rotationally mounted on a carrier (24) which can move in relation to the frame (2). The dough passes from top to bottom through a gap which remains between the two sets of rollers (21, 22) and narrows towards the bottom thereof. All of the rollers (23) belonging to a set (21 or 22) are driven in the same direction. The lower rollers (23) of a set (21, 22) are however driven more quickly than the upper rollers belonging to the same set. The carriers (24) for each of the sets (21, 22) can be moved onto each other or away from each other by means of eccentrics (36, 45). An eccentric (36, 45) is mounted on each roller carrier (24) for this purpose. Said eccentric is driven in a rotational direction counter to the direction in which the dough passes. Each roller carrier (24) is mounted on top of another eccentric (36, 45) or connecting rod (51) in a position which is higher than or lower than said eccentric (36, 45). Said other eccentric (36, 45) or connecting rod (51) can be rotationally or pivotally mounted on the frame. As a result, the dough can be shaped into a desired form in a more sparing manner.

[Fortsetzung auf der nächsten Seite]

FIG. 1

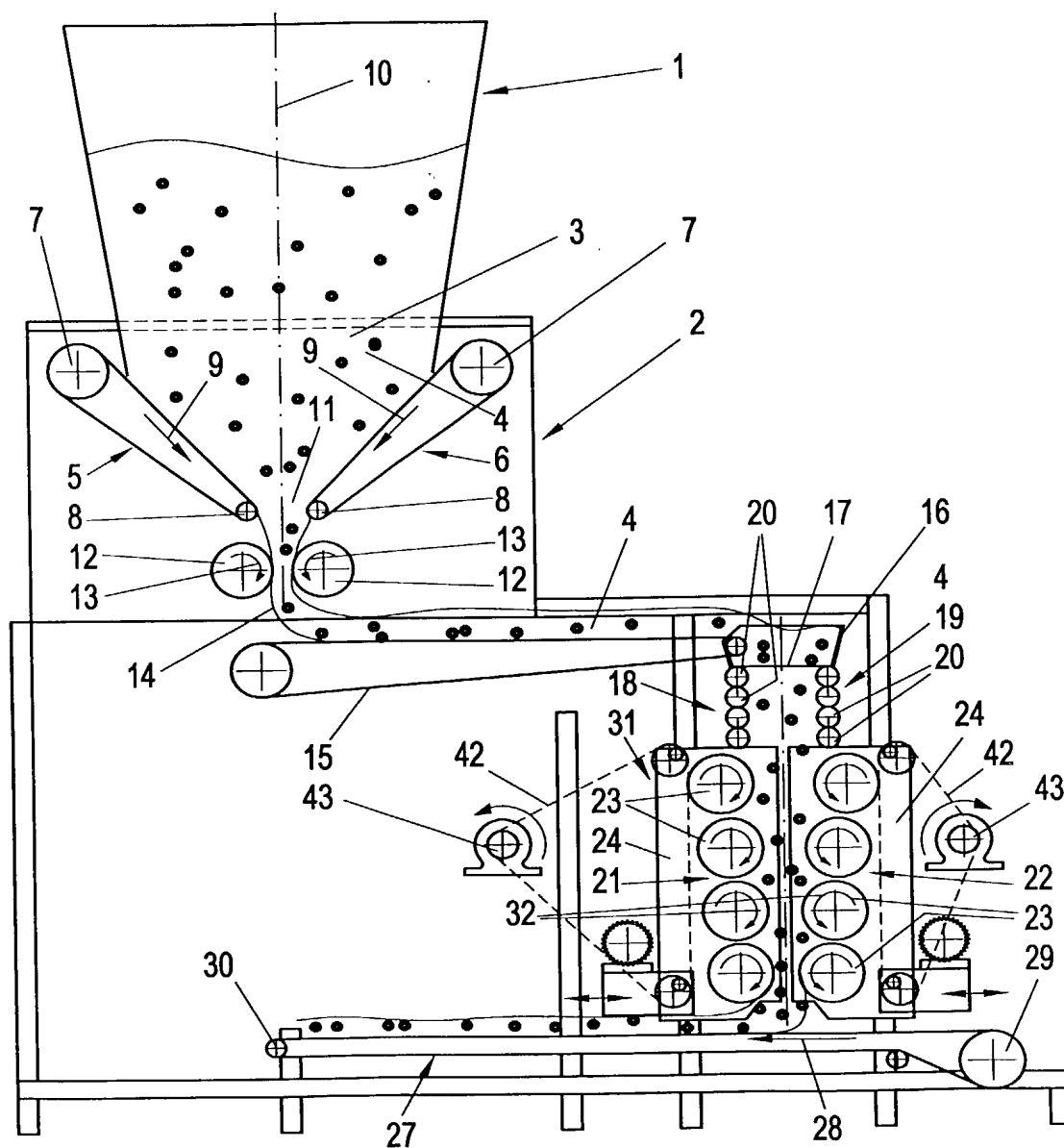


FIG. 2

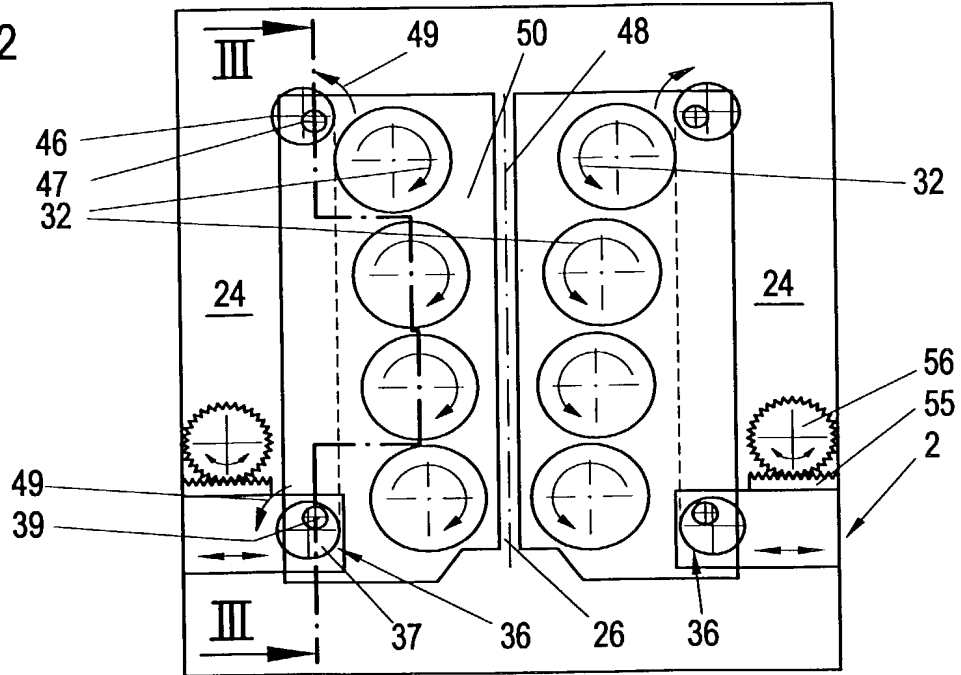
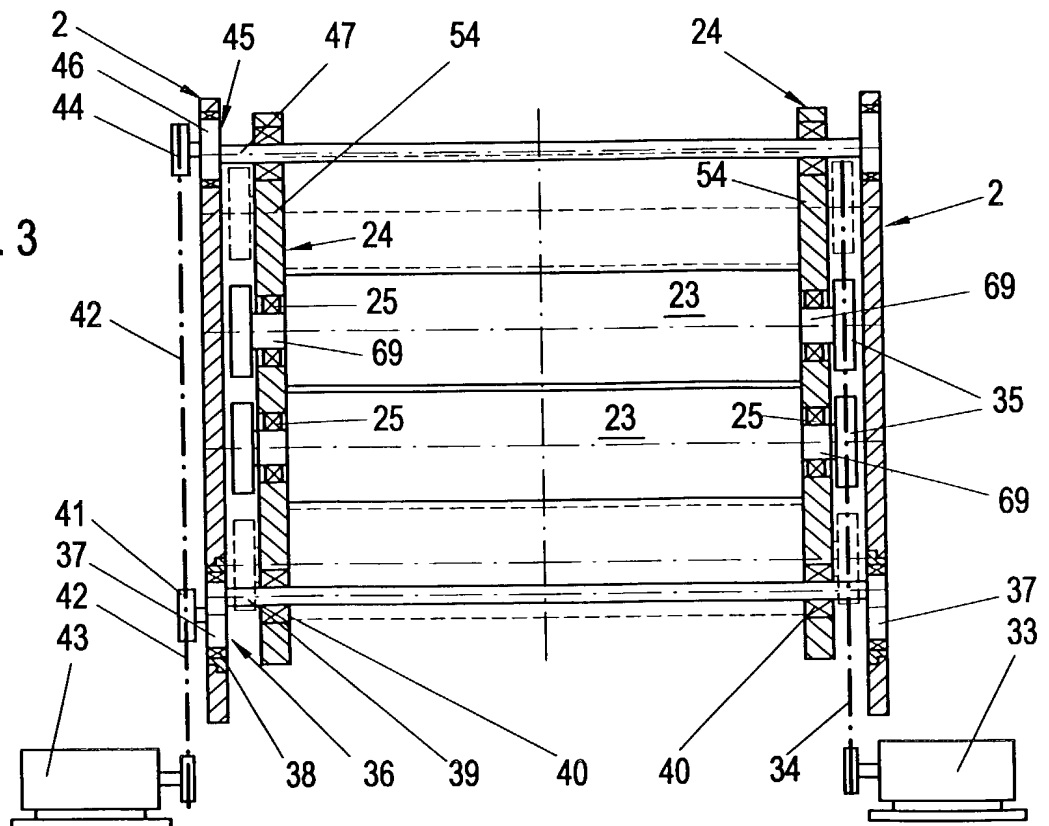


FIG. 3



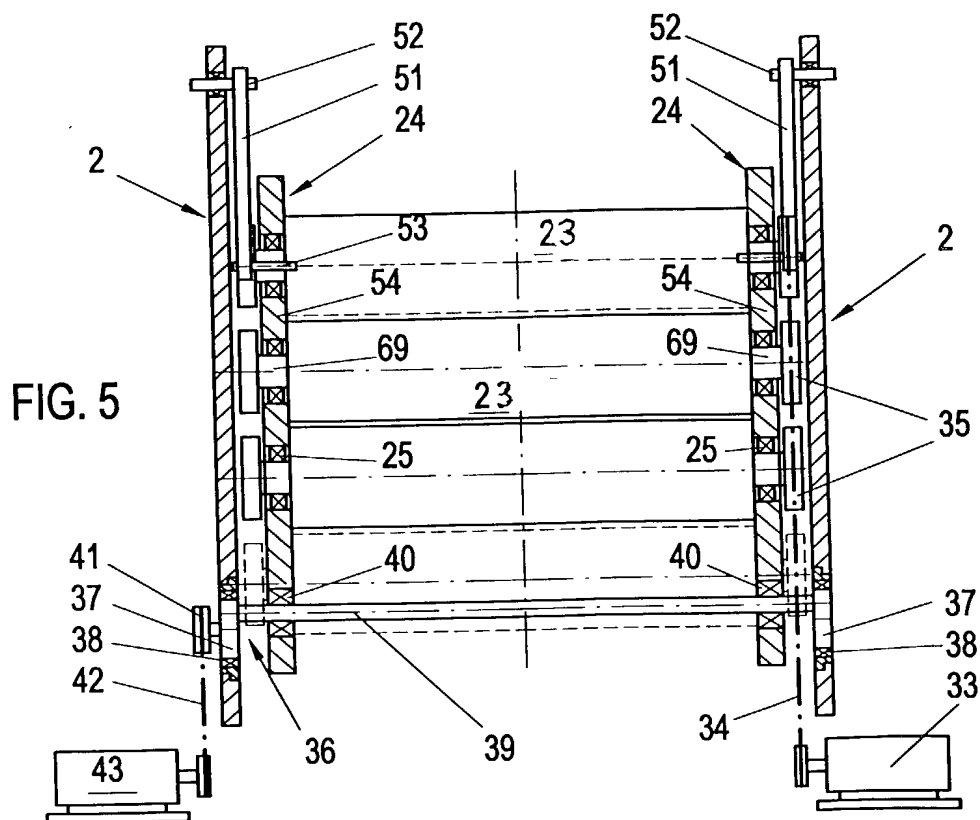
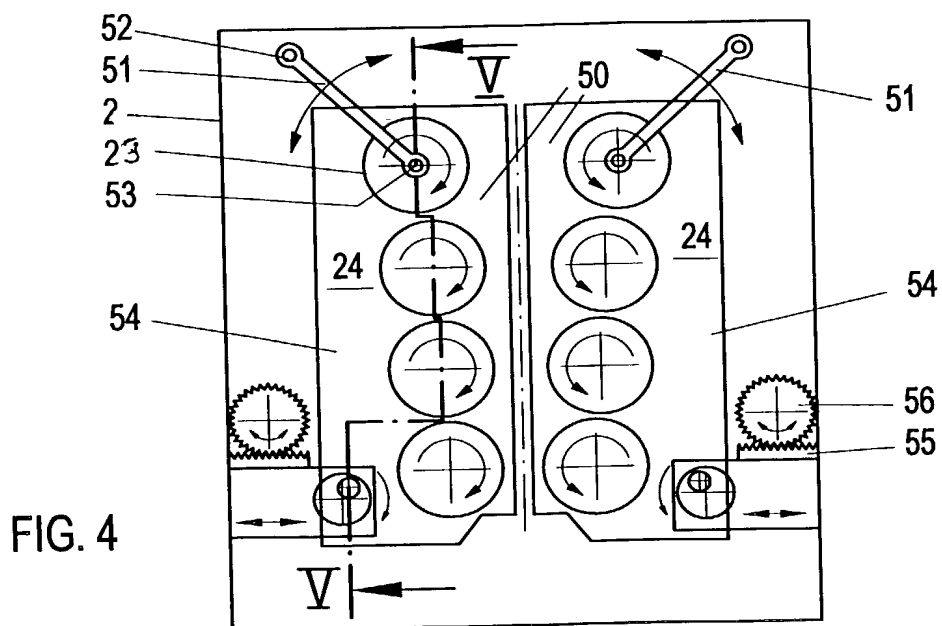


FIG. 6

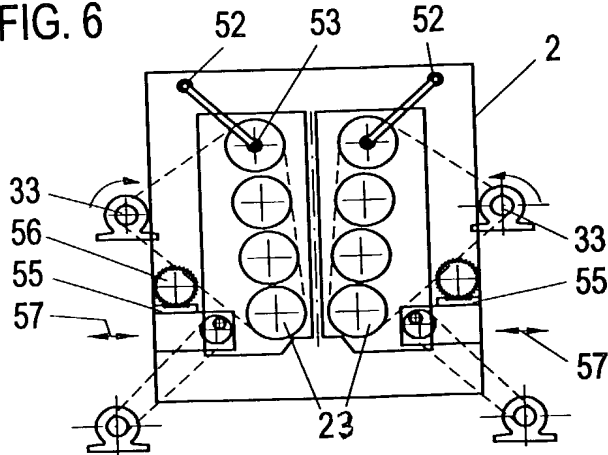


FIG. 7

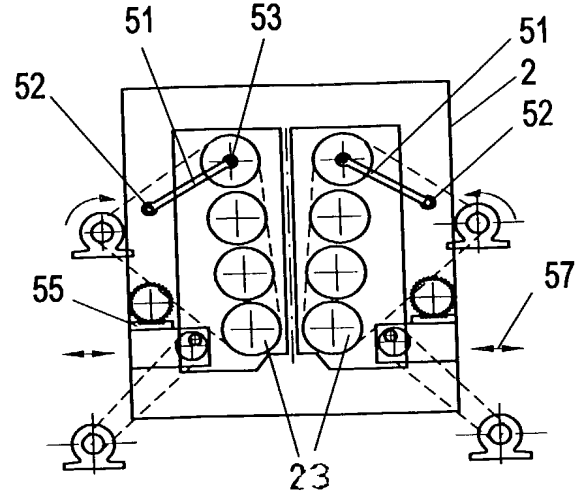


FIG. 8

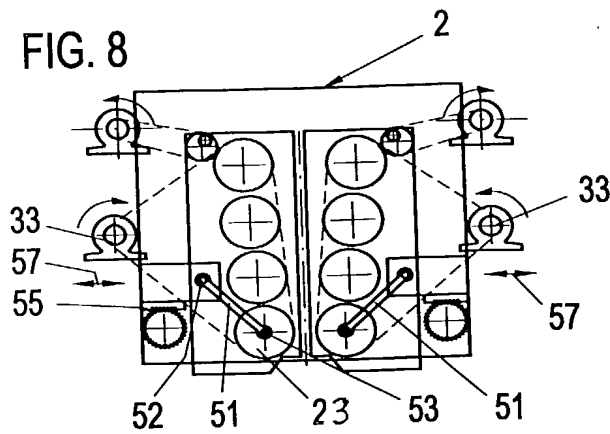


FIG. 9

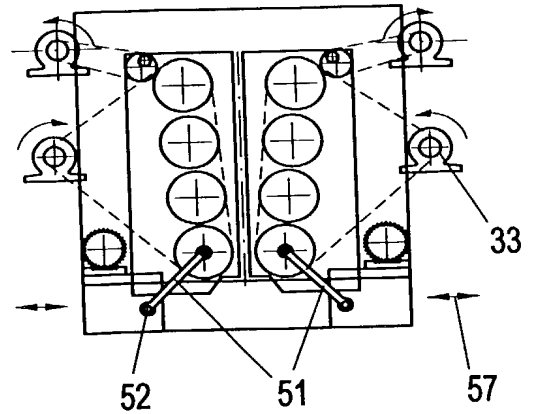


FIG. 10

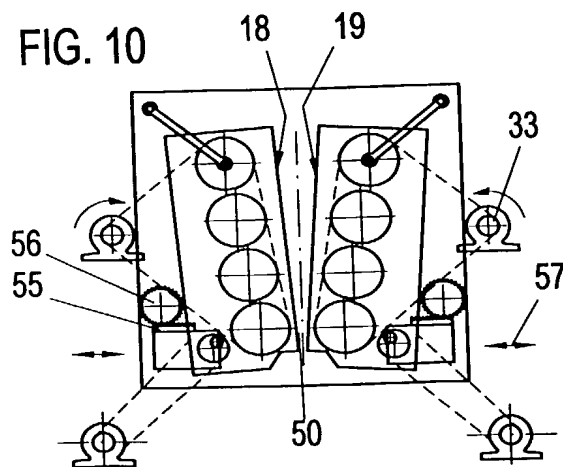


FIG. 11

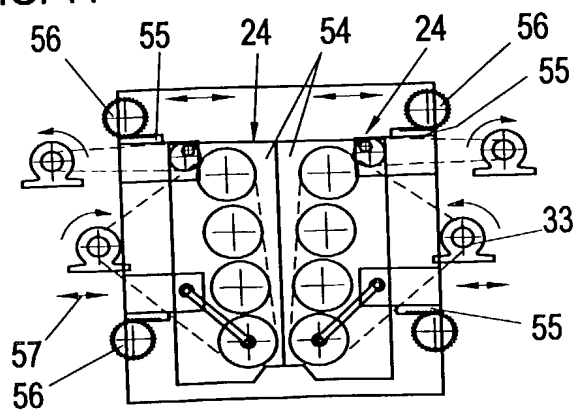


FIG. 12

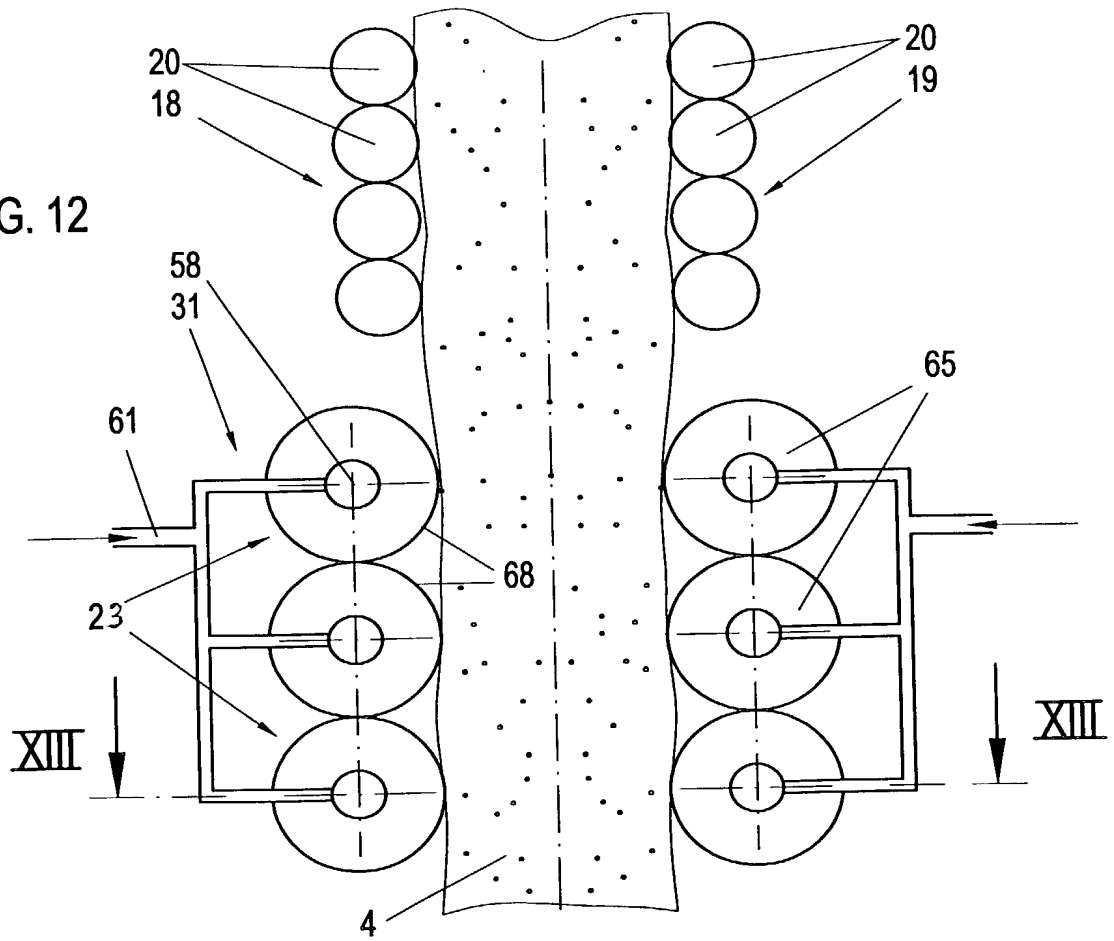
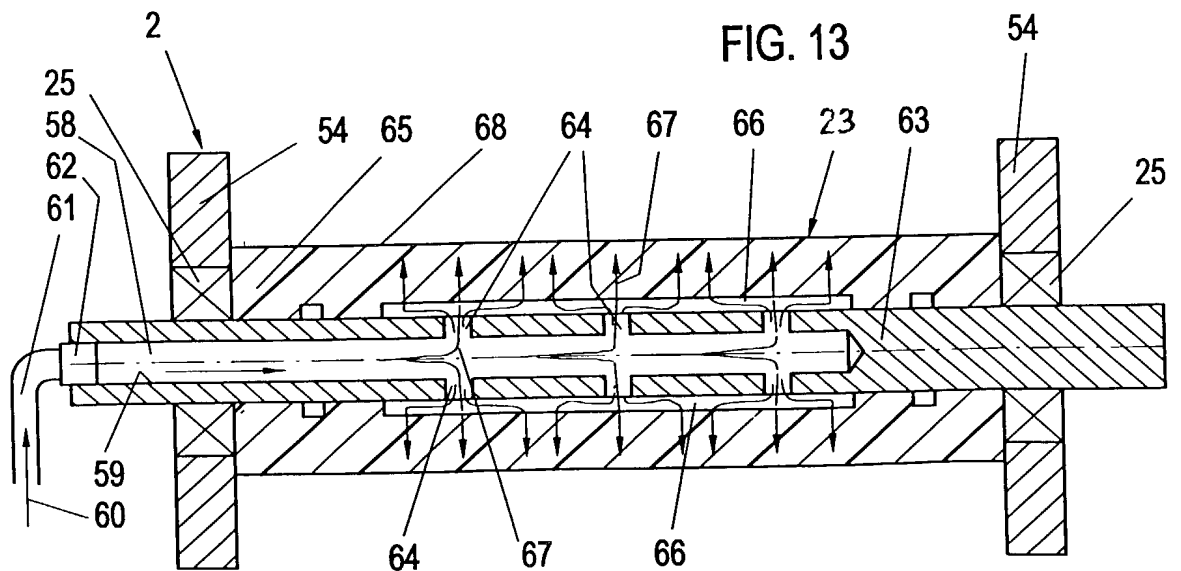


FIG. 13





Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration
Submitted
With Initial
Filing

OR

☐ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16(e))
required)

Attorney Docket Number

082110-000000US

First Named Inventor

Robert Sauseng, et al.

COMPLETE IF KNOWN

Application Number

10/069,095

Filing Date

Art Unit

Examiner Name

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

APPARATUS FOR FORMING A STRIP OF DOUGH

the specification of which

(Title of the Invention)

☐ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | YES | NO |
| A 1336/99 | Austria | 08/03/99 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| PCT/AT00/00204 | PCT | 07/25/00 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement. This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/01 (10-01)

Approved for use through 10/31/2002 OMB 0831-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

DECLARATION — Utility or Design Patent Application

Direct all correspondence to:

☒ Customer Number
or Bar Code Label

20350

OR ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Robert

 Given Name
(first and middle (if any))

 SAUSENG
Family Name
or Surname

 Inventor's
Signature

Date 2002/02/11

 St. Radegund
Residence: City

State

 Austria
Country
AUSTRIAN
Citizenship

Grazerstrasse 46

Mailing Address

 St. Radegund
City

State

 A-8061
ZIP
Austria
Country

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Richard

 Given Name
(first and middle (if any))

 HAUSLER
Family Name
or Surname

 Inventor's
Signature

Date 2002/02/13

 Graz
Residence: City

State

 Austria
Country
Austrian
Citizenship
 Ursprungweg 152
Mailing Address

 Graz
City

State

 A-8045
ZIP
Austrian
Country
☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

(Page 2 of 2)

SF 1310958 v1

Please type a plus sign (+) inside this box →

PTO/SB/01 (02-01)

Approved for use through 10/31/2002 OMB 0651-0035

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

| | |
|-------------------------------|--|
| Application Number | 10/069,095 |
| Filing Date | |
| First Named Inventor | Robert Sauseng, et al. |
| Title | APPARATUS FOR FORMING A STRIP OF DOUGH |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 082110-000000US |

I hereby appoint:

☒ Practitioners at Customer Number
OR

20350

Place Customer
Number Bar Code
Label here
☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
| | |
| | |
| | |
| | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

| | |
|------------------|---|
| Name | Robert Sauseng on behalf of König Maschinen Gesellschaft m.b.H. |
| Signature | <i>Robert Sauseng</i> |
| Date | 2002/02/11 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.

SF 1310950 v1

Atty. Docket No. _____



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
 (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

Applicant or Patentee: Robert Sauseng and Richard Häusler

Serial No.: _____ Filing Date: _____

Patent No.: _____ Issued: _____

For: Apparatus for forming a strip of dough

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN König Maschinen Gesellschaft m.b.H.ADDRESS OF CONCERN Statteggerstraße 80A-8045 Graz (AUSTRIA)

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Apparatus for forming a strip of dough

by inventor(s)

Robert Sauseng and Richard Häusler
 described in

- ☐ the application filed herewith
☐ application serial no. _____, filed January 31, 2002
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Robert SausengTITLE OF PERSON OTHER THAN OWNER Executive SecretaryADDRESS OF PERSON SIGNING Grazerstrasse 46, A-8041 St. Radegund (Austria)

SIGNATURE _____

DATE 2002/02/11